



# Investor Charter- Stock Brokers

## Annexure-B

### Investors Complaints Data

Name of the Stock Broker: LGT Capital Markets Private Limited

SEBI Registration Number: INZ000319637

Data for the month ending- January 2026

Data for every month ending

S r. N o.	Recei ved from	Carried forward from previous month	Received during the month	Total endin g	Resolved*	Pending at the end of the month**	Average Resolution time^ (in days)
1	2	3	4	5	6	7	8
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
<b>Grand Total</b>		0	0	0	0	0	0

The company received SEBI Stock Broker Registration on August 28, 2024

Trend of monthly disposal of complaints

S r N o .	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	September 2024	0	0	0	0
2	October 2024	0	0	0	0

3	November 2024	0	0	0	0
4	December 2024	0	0	0	0
5	January 2025	0	0	0	0
6	February 2025	0	0	0	0
7	March 2025	0	0	0	0
8	April 2025	0	0	0	0
9	May 2025	0	0	0	0
10	June 2025	0	0	0	0
11	July 2025	0	0	0	0
12	August 2025	0	0	0	0
13	September 2025	0	0	0	0
14	October 2025	0	0	0	0
15	November 2025	0	0	0	0
16	December 2025	0	0	0	0
17	January 2026	0	0	0	0
<b>Grand Total</b>		0	0	0	0

\* Should include complaints of previous months resolved in the current month, if any.

\*\* Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

**The company received SEBI Stock Broker Registration on August 28, 2024**

Trend of annual disposal of complaints

Sr . No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023-24	0	0	0	0
2	2024- 25	0	0	0	0

3	2025-26	0	0	0	0
<b>Grand Total</b>		0	0	0	0

**The company received SEBI Stock Broker Registration on August 28, 2024**

**Note:** Above information is issued pursuant to SEBI circular number SEBI/HO/MIRSD/MIRSD- PoD1/P/CIR/2025/22 dated February 21, 2025 and SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated December 02, 2021 respectively.