Investor Charter- Stock Brokers

Annexure-B

Investors Complaints Data

Name of the Stock Broker: LGT Capital Markets Private Limited SEBI

Registration Number: INZ000319637

Data for the month ending- October 2026

Data for every month ending

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	0

The company received SEBI Stock Broker Registration on August 28,2024

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	September 2024	0	0	0	0
2	October 2024	0	0	0	0
3	November 2024	0	0	0	0

4	December 2024	0	0	0	0
5	January 2025	0	0	0	0
6	February 2025	0	0	0	0
7	March 2025	0	0	0	0
8	April 2025	0	0	0	0
9	May 2025	0	0	0	0
10	June 2025	0	0	0	0
11	July 2025	0	0	0	0
12	August 2025	0	0	0	0
13	September 2025	0	0	0	0
14	October 2025	0	0	0	0
15	November 2025	0	0	0	0
16	December 2025	0	0	0	0
17	January 2026	0	0	0	0
18	February 2026	0	0	0	0
19	March 2026	0	0	0	0
20	April 2026	0	0	0	0
21	May 2026	0	0	0	0
22	June 2026	0	0	0	0
23	July 2026	0	0	0	0
24	August 2026	0	0	0	0
25	September 2026	0	0	0	0
26	October 2026	0	0	0	0
	Grand Total	0	0	0	0

* Should include complaints of previous months resolved in the current month, if any.

** Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

The company received SEBI Stock Broker Registration on August 28,2024

Trend of annual disposal of complaints

Sr . No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2023-24	0	0	0	0
2	2024- 25	0	0	0	0
3	2025-26	0	0	0	0
4	2026-27	0	0	0	0
	Grand Total	0	0	0	0

The company received SEBI Stock Broker Registration on August 28,2024

Note: Above information is issued pursuant to SEBI circular number SEBI/HO/MIRSD/MIRSD- PoD1/P/CIR/2025/22 dated February 21, 2025 and SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated December 02, 2021 respectively.