



# Investor Charter- Stock Brokers

## Annexure-B

### Investors Complaints Data

Name of the Stock Broker: LGT Capital Markets Private Limited

SEBI Registration Number: INZ000319637

Data for the month ending- November 2025

Data for every month ending

| Sr. No. | Received from           | Carried forward from previous month | Received during the month | Total ending | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|---------|-------------------------|-------------------------------------|---------------------------|--------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|         |                         |                                     |                           |              |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1       | 2                       | 3                                   | 4                         | 5            | 6         | 7                                 |                                | 8                                  |
| 1       | Directly from Investors | 0                                   | 0                         | 0            | 0         | 0                                 | 0                              | 0                                  |
| 2       | SEBI (SCORES 2.0)       | 0                                   | 0                         | 0            | 0         | 0                                 | 0                              | 0                                  |
| 3       | Stock Exchanges         | 0                                   | 0                         | 0            | 0         | 0                                 | 0                              | 0                                  |
| 4       | Other Sources (if any)  | 0                                   | 0                         | 0            | 0         | 0                                 | 0                              | 0                                  |
|         | Grand Total             | 0                                   | 0                         | 0            | 0         | 0                                 | 0                              | 0                                  |

The company received SEBI Stock Broker Registration on August 28,2024

Trend of monthly disposal of complaints

| Sr No. | Month          | Carried forward from previous month | Received | Resolved* | Pending** |
|--------|----------------|-------------------------------------|----------|-----------|-----------|
| 1      | 2              | 3                                   | 4        | 5         | 6         |
| 1      | September 2024 | 0                                   | 0        | 0         | 0         |
| 2      | October 2024   | 0                                   | 0        | 0         | 0         |
| 3      | November 2024  | 0                                   | 0        | 0         | 0         |

|    |                    |          |          |          |          |
|----|--------------------|----------|----------|----------|----------|
| 4  | December 2024      | 0        | 0        | 0        | 0        |
| 5  | January 2025       | 0        | 0        | 0        | 0        |
| 6  | February 2025      | 0        | 0        | 0        | 0        |
| 7  | March 2025         | 0        | 0        | 0        | 0        |
| 8  | April 2025         | 0        | 0        | 0        | 0        |
| 9  | May 2025           | 0        | 0        | 0        | 0        |
| 10 | June 2025          | 0        | 0        | 0        | 0        |
| 11 | July 2025          | 0        | 0        | 0        | 0        |
| 12 | August 2025        | 0        | 0        | 0        | 0        |
| 13 | September 2025     | 0        | 0        | 0        | 0        |
| 14 | October 2025       | 0        | 0        | 0        | 0        |
| 15 | November 2025      | 0        | 0        | 0        | 0        |
|    | <b>Grand Total</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

\* Should include complaints of previous months resolved in the current month, if any.

\*\* Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

#### The company received SEBI Stock Broker Registration on August 28,2024

Trend of annual disposal of complaints

| Sr . No. | Year               | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1        | 2023-24            | 0                                  | 0                        | 0                        | 0                              |
| 2        | 2024- 25           | 0                                  | 0                        | 0                        | 0                              |
| 3        | 2025-26            | 0                                  | 0                        | 0                        | 0                              |
|          | <b>Grand Total</b> | <b>0</b>                           | <b>0</b>                 | <b>0</b>                 | <b>0</b>                       |

#### The company received SEBI Stock Broker Registration on August 28,2024

**Note:** Above information is issued pursuant to SEBI circular number SEBI/HO/MIRSD/MIRSD- PoD1/P/CIR/2025/22 dated February 21, 2025 and SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated December 02, 2021 respectively.