



Investor Charter- Stock Brokers

Annexure-B

Investors Complaints Data

Name of the Stock Broker: LGT Capital Markets Private Limited

SEBI Registration Number: INZ000319637

Data for the month ending- June 2025

Data for every month ending

| Sr. No. | Received from | Carried forward from previous month | Received during the month | Total ending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|---------|-------------------------|-------------------------------------|---------------------------|--------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES 2.0) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

The company received SEBI Stock Broker Registration on August 28,2024

Trend of monthly disposal of complaints

| Sr No. | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|--------|----------------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | September 2024 | 0 | 0 | 0 | 0 |
| 2 | October 2024 | 0 | 0 | 0 | 0 |
| 3 | November 2024 | 0 | 0 | 0 | 0 |

| | | | | | |
|----|--------------------|----------|----------|----------|----------|
| 4 | December 2024 | 0 | 0 | 0 | 0 |
| 5 | January 2025 | 0 | 0 | 0 | 0 |
| 6 | February 2025 | 0 | 0 | 0 | 0 |
| 7 | March 2025 | 0 | 0 | 0 | 0 |
| 8 | April 2025 | 0 | 0 | 0 | 0 |
| 9 | May 2025 | 0 | 0 | 0 | 0 |
| 10 | June 2025 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

* Should include complaints of previous months resolved in the current month, if any.

** Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

The company received SEBI Stock Broker Registration on August 28,2024

Trend of annual disposal of complaints

| Sr . No. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2023-24 | 0 | 0 | 0 | 0 |
| 2 | 2024- 25 | 0 | 0 | 0 | 0 |
| 3 | 2025-26 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

The company received SEBI Stock Broker Registration on August 28,2024

Note: Above information is issued pursuant to SEBI circular number SEBI/HO/MIRSD/MIRSD- PoD1/P/CIR/2025/22 dated February 21, 2025 and SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated December 02, 2021 respectively.