



**LGT Capital Markets
Private Limited**

**DEMAT ACCOUNT
OPENING FORM-
NON-INDIVIDUAL

CORPORATE
LLP
REGISTERED TRUST**



Client Name _____
DP Id and Client Id 12101500 and _____
DP Internal Reference _____

Version 1.1 / 072025



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Name of Depository Participant	LGT Capital Markets Private Limited
CIN number	U66120MH2024PTC418285
DP-CDSL SEBI Registration no. and date	IN-DP-793-2025 and February 17, 2025
DP ID	12101500
Registered office address	201/202, Kanakia Wallstreet, Wing B, Andheri Kurla Road, Andheri East, Mumbai-400093
Correspondence office address	201/202, Kanakia Wallstreet, Wing B, Andheri Kurla Road, Andheri East, Mumbai-400093
Wholetime director/ Designated director	Atul Singh Mobile No.: 9820681436 Email id: atul.singh@lgtindia.in
Wholetime director/ Designated director	Surendhren Manayath Mobile No.: 9769009200 Email id: surendhren.manayath@lgtindia.in
Name of compliance officer	Dinesh Tanwar Mobile No.: 9987635146 Email id: dinesh.tanwar@lgtindia.in
Refer the link for accessing the forms, standard documents and other broker details of LGT Capital Markets Private Limited	Website. https://www.lgtindia.in/en/lgt-capital-markets

Disclaimer

Investment in the securities market is subject to market risks. Read all the related documents carefully before investing. Registration granted by Securities and Exchange Board of India ("SEBI") and certification from NISM is no way to guarantee performance of the intermediary or provide any assurance of returns to investors

Investor Complaint Redressal

For any grievance or dispute, please contact our team through any of the following channels:

Address:

LGT Capital Markets Private Limited

B-201/202, 2nd Floor, Kanakia Wall Street, Chakala MIDC, Mumbai – 400093

Email:

For DP-related disputes: Dpgrievances.lgtCapital@lgtindia.in

Phone: +91-22-65363335

In case not satisfied with the response please contact the concerned Depository at the details provided below:

Sr. No.	Exchange / DP	Email Id	Contact No.
1	Central Depository Services (India) Limited	complaints@cdslindia.com	080-69144800

Investor Grievance Redressal Mechanism

If you have any grievances, you may lodge your complaint with SEBI through the SCORES platform at <https://scores.sebi.gov.in/>.

For queries, feedback, or assistance, please contact SEBI's Toll-Free Helpline: 1800 22 7575 / 1800 266 7575

Alternatively, you can visit the nearest SEBI office. The details of the regional office are as follows:

Office of Investor Assistance & Education

Securities and Exchange Board of India (SEBI)

Plot No. C 4-A, G Block, Near Bank of India, Bandra Kurla Complex, Bandra (East), Mumbai, Maharashtra - 400051

If your grievance remains unresolved, you can escalate it through the Online Dispute Resolution (ODR) platform, **SMART ODR**, accessible at: <https://smartodr.in/login>.

Watch Outs / Last Minute Revision

1. All details to be filled in **Capital Block letters in Black / Blue Ink Only** and do have:
 - a. Signature at places against the ✍ symbols.
 - b. Address - as it appears on the address proof. In case permanent & correspondence addresses are different, make sure to provide address proof for both of them.
 - c. Witness signature at places marked in light Grey Colour.
 - d. N.A. mentioned at all places not applicable to the Client.
2. White ink is not allowed either on the form or the agreement. All corrections to be countersigned with full signature.
3. All proofs and photographs on the form should be clearly visible and should be self attested by Client.

Instructions to the Applicants (BOs) for account opening

1. Signatures can be in English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate / Special Executive Officer under his/her official seal.
2. Signatures should be preferably in black/blue ink.
3. Details of the Names, Address, Telephone Number(s), etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
4. In case of additional signatures (for accounts other than individuals), separate annexures should be attached to the account opening form.
5. In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.
6. All correspondence / queries shall be addressed to the first / sole applicant.
7. Strike off whichever option, in the account opening form, is not applicable.

Instructions / Checklist for filling KYC form

A. Important Points:

1. Self-attested copy of PAN card is mandatory for all Clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
8. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in/by a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government /judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Exemptions/clarifications to PAN:

('Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional Clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

C. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the Client resides are permitted to attest the documents.

D. Incase of Non Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below

Types of entity	Documentary Requirements
Corporate	<ul style="list-style-type: none"> a. Certificate of incorporation. b. Memorandum and Articles of Association. c. Board Resolution for investment in securities market. d. Power of Attorney granted to its managers, officers or employees, as the case may be, to transact on its behalf. e. Authorised signatories list with specimen signatures. f. Copy of the balance sheet for the last financial year (initially for the last two financial years and subsequently for every last financial year). g. Latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/whole time director/ MD (to be submitted every year). h. Photograph, POI, POA, PAN and DIN numbers of whole time directors/ two directors in charge of day to day operations. i. Photograph, POI, POA, PAN of individual promoters holding control-either directly or indirectly.
Partnership Firm	<ul style="list-style-type: none"> a. Certificate of registration (for registered partnership firms only). b. Copy of partnership deed. c. Copy of the balance sheet for the last financial year (initially for the last two financial years and subsequently for every last financial year). d. Authorised signatories list with specimen signatures. e. Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> a. Certificate of registration (for registered trust only). b. Copy of Trust deed. c. Copy of the balance sheet for the last financial year (initially for the last two financial years and subsequently for every last financial year). d. List of trustees certified by managing trustees/CA. e. Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> a. Deed of declaration of HUF/ List of coparceners. b. Bank pass-book/bank statement in the name of HUF. c. Photograph, POI, POA, PAN of Karta.
Unincorporated Association or a body of individuals	<ul style="list-style-type: none"> a. Proof of Existence/Constitution document. b. Resolution of the managing body & Power of Attorney granted to transact business on its behalf. c. Authorized signatories list with specimen signatures.
Banks / Institutional investors	<ul style="list-style-type: none"> a. Copy of the constitution/registration or annual report/balance sheet for last financial year (initially for the last two financial years and subsequently for every last financial year). b. Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> a. Copy of SEBI registration certificate b. Authorized signatories list with specimen signatures.
Army /Government Bodies	<ul style="list-style-type: none"> a. Self-certification on letterhead. b. Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> a. Copy of Registration Certificate under Societies Registration Act. b. List of Managing Committee members. c. Committee resolution for persons authorised to act as authorised signatories with specimen signatures. d. True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

List of two – digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO List of ISO 3166 two- digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Åland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire !Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion !Réunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao !Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy !Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		

Corporate Account**Documents required****✓ Against the document submitted****For Company (All the documents should be attested by company with rubber stamp)****Address Proof (any 1 of the following)**

- Registration Certificate / Certificate of Incorporation ☐
- Telephone/electricity bill in the name of company (not more than 2 months old) ☐
- Registered lease/sale agreement(only if Client has a correspondence address) ☐
- Bank Statement/Passbook in the name of entity (not more than 2 months old) ☐
- GST Certificate of the entity ☐

Bank Proof (any 1 of the following)

- Bank account statement/passbook in the name of company (not more than 2 months old) ☐
- Cheque leaf(Client name,a/c no. , MICR & IFSC should be printed) ☐
- Bank verification letter ☐

Mandatory company documents (all have to be submitted)

- Company incorporation certificate ☐
- Board resolution signed by 2 directors or 1 MD/company secretary and should include: ☐
 1. Date & place of passing
 2. Mode of operation - jointly or severally
 3. Name of the person authorised to operate the a/c.
- Memorandum & Article of Association signed by authorised person, investment clause must be mentioned ☐
- Share holding pattern including list of all those holding control, either directly or indirectly, in the company, names of person holding senior management position should be attested by MD/company secretary/director(s). ☐
- Beneficial Owner Certificate - If any of the shareholders is a non-individual holding more than 10% of ownership, then its shareholding pattern must be provided. If there are multiple layers of non-individual ownership in that company, then the Beneficial Owner Certificate identifying the ultimate individual beneficial owners duly certified by the company secretary of corporate whose account is being activated. PAN & KRA documents of the identified Ultimate beneficial owner to be submitted. ☐
- If an individual has more than 10% shares in shareholding pattern of the company, then his/her PAN & KRA Documents are required. ☐
- PAN & KRA Details of minimum two Directors & Authorised Signatories ☐
- List of all directors attested by authorised signatories ☐
- Balance sheet of last 2 financial years ☐
- If the Entity is recently formed & Balance Sheet is not available, in such case Net worth Certificate duly certified by Chartered Accountant to be furnished. ☐
- Director Identification Number of directors ☐
- Name change certificate, if name of the company has been changed ☐
- Form 32, if names of directors are not mentioned in Memorandum Of Association (MOA)/Articles Of Association (AOA) ☐
- Form 18, if company has changed address which is not mentioned in MOA/AOA.Proof of new address is also required ☐

For individual directors/authorised signatories (All the documents should be attested by them without rubber stamp)**Photograph of directors/authorised signatories (Compulsory)****Address Proof(any 1 of the following)**

- ☐ Passport ☐ NREGA Job Card ☐ Driving license ☐ National Population Register Letter
☐ Voter ID ☐ Aadhar

***IPV/Documents verified with original stamp is required on all the documents.**

Registered Trust Account**Documents required****✓ Against the document submitted****For Registered Trust(All the documents should be attested by registered trust with rubber stamp)****NOTE: Trust has to be registered under Societies Registration Act/ Indian Trust Act 1882/Public Trust Act, 1860 / Bombay Public Trust Act 1950/ Public Trust Act of Relevant State or Section 12AA of Income Tax Act,1961.****Address Proof (any 1 of the following)**

- Registration Certificate ☐
- Telephone/electricity bill in the name of trust (not more than 2 months old) ☐
- Registered lease/sale agreement (only if Client has a correspondence address) ☐
- Bank Statement/Passbook in the name of entity (not more than 2 months old) ☐
- GST Certificate of the entity ☐

Bank Proof (any 1 of the following)

- Bank account statement/passbook in the name of trust (not more than 2 months old) ☐
- Cheque leaf(Client name,a/c no. , MICR & IFSC should be printed) ☐
- Bank verification letter ☐

Mandatory documents (all have to be submitted)

- Trust deed attested by all the authorised trustees, investment clause must be mentioned ☐
- Trust registration certificate ☐
- List of all trustees / Beneficiaries / Settlor / Authors /protector of Trust attested by authorised signatories. ☐
- Balance sheet of last 2 years ☐
- If the Entity is recently formed & Balance Sheet is not available, in such case Net worth Certificate duly certified by Chartered Accountant to be furnished. ☐
- Trust resolution attested by all the trustees. Should mention the: ☐
 1. Date & place of passing
 2. Mode of operation - jointly or severally
 3. Name of the person authorised to operate the a/c.
- PAN & KRA documents of all Settlor/Authors/Protector of trust/Trustees & Authorised signatories ☐
- Beneficial Owner Certificate - In the case of the registered Trust, if any of the beneficiaries is a non-individual holding more than 10% of ownership (either of profits or corpus of the trust) then its shareholding pattern must be provided. If there are multiple layers of non-individual ownership in that company, then the Beneficial Owner Certificate identifying the ultimate individual beneficial owners duly certified by managing trustees of the Trust whose account is being activated. PAN & KRA documents of the identified Ultimate beneficial owner to be submitted. ☐

For individual trustees/ Settlor/Authors/ Protector/Beneficiaries of Trust (All the documents should be attested by them without rubber stamp)**Photograph of trustees (Compulsory)****Address Proof(any 1 of the following)**

- ☐ Passport ☐ NREGA Job Card ☐ Driving license ☐ National Population Register Letter
- ☐ Voter ID ☐ Aadhar

***IPV/Documents verified with original stamp is required on all the documents.**

LLP Account

Documents required

✓ Against the document submitted

Address Proof (any 1 of the following)

- Registration Certificate / Certificate of Incorporation ☐
- Telephone/electricity bill in the name of partnership firm (not more than 2 months old) ☐
- Registered lease/sale agreement (only if Client has a correspondence address) ☐
- Bank Statement/Passbook in the name of entity (not more than 2 months old) ☐
- GST Certificate of the entity ☐

Bank Proof (any 1 of the following)

- Bank account statement/passbook in the name of trust (not more than 2 months old) ☐
- Cheque leaf(Client name,a/c no. , MICR & IFSC should be printed) ☐
- Bank verification letter ☐

Mandatory documents (all have to be submitted)

- LLP deed attested by all the authorised partners, investment clause must be mentioned ☐
- LLP registration certificate ☐
- List of all LLP Partners & names of person holding senior management position should be attested by authorised signatory ☐
- Balance sheet of last 2 financial years ☐
- If the Entity is recently formed & Balance Sheet is not available, in such case Net worth Certificate duly certified by Chartered Accountant to be furnished. ☐
- PAN & KRA Details of All Partners ☐
- Board resolution attested by all the partners. It should have: ☐
 1. Date & place of passing
 2. Mode of operation - jointly or severally
 3. Name of the person authorised by board to operate the a/c
- Beneficial Owner Certificate- If any of the shareholders is a non-individual holding more than 10% of ownership, then its shareholding pattern must be provided. If there are multiple layers of non-individual ownership in that company, then the Beneficial Owner Certificate identifying the ultimate individual beneficial owners duly certified by the designated partners of the LLP whose account is being activated. PAN & KRA documents of the identified Ultimate beneficial owner to be submitted. ☐

For individual partners(All the documents should be attested by them without rubber stamp)

Photograph of partners (Compulsory)

Address Proof(any 1 of the following)

- ☐ Passport ☐ NREGA Job Card ☐ Driving license ☐ National Population Register Letter
☐ Voter ID ☐ Aadhar

***IPV/Documents verified with original stamp is required on all the documents.**

Association of Persons Account

Documents required**✓ Against the document submitted**

For AOP (All the documents should be attested by AOP with rubber stamp)

Address Proof (any 1 of the following)

- Telephone/electricity bill in the name of partnership firm (not more than 2 months old) ☐
- Registered lease/sale agreement (only if Client has a correspondence address) ☐
- Bank Statement/Passbook in the name of entity (not more than 2 months old) ☐
- GST Certificate of the entity ☐

Bank Proof (any 1 of the following)

- Bank account statement/passbook in the name of trust (not more than 2 months old) ☐
- Cheque leaf(Client name,a/c no., MICR & IFSC should be printed) ☐
- Bank verification letter ☐

Mandatory documents (all have to be submitted)

- Agreement of AOP attested by all the authorised signatories, investment clause must be mentioned ☐
- List of members of AOP attested by authorised signatories ☐
- Balance sheet of last 2 financial years ☐
- If the Entity is recently formed & Balance Sheet is not available, in such case Net worth Certificate duly certified by Chartered Accountant to be furnished. ☐
- AOP resolution attested by all the members ☐
- PAN & KRA document of all authorised AOP members ☐

NOTE: Demat account can be of minimum 1 or maximum 3 holders

For individual AOP members(All the documents should be attested by them without rubber stamp)

Photograph of AOP members (Compulsory)

Address Proof(any 1 of the following)

- ☐ Passport
 ☐ NREGA Job Card
 ☐ Driving license
 ☐ National Population Register Letter
☐ Voter ID
 ☐ Aadhar

***IPV/Documents verified with original stamp is required on all the documents.**

Society Account

Documents required**✓ Against the document submitted**

For Society (All the documents should be attested by society with rubber stamp)

Address Proof (any 1 of the following)

- | | |
|-------------------------------------------------------------------------------------------|--------------------------|
| • Registration Certificate | <input type="checkbox"/> |
| • Telephone/electricity bill in the name of partnership firm (not more than 2 months old) | <input type="checkbox"/> |
| • Registered lease/sale agreement (only if Client has a correspondence address) | <input type="checkbox"/> |
| • Bank Statement/Passbook in the name of entity (not more than 2 months old) | <input type="checkbox"/> |
| • GST Certificate of the entity | <input type="checkbox"/> |

Bank Proof (any 1 of the following)

- | | |
|-------------------------------------------------------------------------------------|--------------------------|
| • Bank account statement/passbook in the name of trust (not more than 2 months old) | <input type="checkbox"/> |
| • Cheque leaf(Client name,a/c no. , MICR & IFSC should be printed) | <input type="checkbox"/> |
| • Bank verification letter | <input type="checkbox"/> |

Mandatory documents (all have to be submitted)

- | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| • Bye laws of society attested by all the authorised signatories, investment clause must be mentioned | <input type="checkbox"/> |
| • Society registration certificate | <input type="checkbox"/> |
| • List of committee members attested by authorised signatory | <input type="checkbox"/> |
| • Balance sheet of last 2 financial years | <input type="checkbox"/> |
| • If the Entity is recently formed & Balance Sheet is not available, in such case Net worth Certificate duly certified by Chartered Accountant to be furnished. | <input type="checkbox"/> |
| • Society resolution attested by all the committee members. It should have: | <input type="checkbox"/> |
| 1. Date & place of passing | |
| 2. Name of person authorised to operate the a/c | |
| • PAN & KRA document of all the committee members | <input type="checkbox"/> |

NOTE: Demat account can be of minimum 1 or maximum 3 holders

For Committee Members the documents should be attested by them without rubber stamp)

Photograph of Committee Members (Compulsory)

Address Proof(any 1 of the following)

- | | | | |
|-----------------------------------|-----------------------------------------|------------------------------------------|--------------------------------------------------------------|
| <input type="checkbox"/> Passport | <input type="checkbox"/> NREGA Job Card | <input type="checkbox"/> Driving license | <input type="checkbox"/> National Population Register Letter |
| <input type="checkbox"/> Voter ID | <input type="checkbox"/> Aadhar | | |

***IPV/Documents verified with original stamp is required on all the documents.**

Important Instructions:

- A) Fields marked with “*” are mandatory fields.
B) Tick ‘✓’ wherever applicable.
C) Please fill the date in DD-MM-YYYY format.
D) Please fill the form in English and in BLOCK letters.
E) KYC number of applicant is mandatory for update application.
F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
G) List of two character ISO 3166 country codes is available at the end.
H) Please read section wise detailed guidelines / instruction at the end.
I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.



For office use only

Application Type* ☐ New ☐ Update KYC Number (Mandatory for KYC update request)
(To be filled by financial institution)

☐ **1. ENTITY DETAILS*** (Please refer instruction A at the end)

☐ Name*
Entity Constitution Type* ☐ Other (Specify) (Please refer instruction A at the end)
Date of Incorporation/ Formation* DD-MM-YYYY
Date of Commencement of Business* DD-MM-YYYY
Place of Incorporation/ Formation*
Country of Incorporation/ Formation* TIN or Equivalent Issuing Country
PAN* ☒ Form 60 furnished
TIN / GST Registration Number

☐ **2. PROOF OF IDENTITY (PoI)*** (Please refer instruction B at the end)

- ☐ Officially valid document(s) in respect of person authorised to transact
☐ Certificate of Incorporation / Formation ☐ Registration Certificate Regn. Certificate No.
☐ Memorandum and Articles of Association ☐ Partnership Deed ☐ Trust Deed (e.g.CIN)
☐ Resolution of Board / Managing Committee ☐ Power of attorney granted to its manager, officers or employees to transact on its behalf
☐ Activity Proof - 1 (For Sole Proprietorship Only) ☐ Activity Proof - 2 (For Sole Proprietorship Only)

☐ **3. ADDRESS*** (Please refer instruction C at the end)

3.1 Registered Office Address / Place of Business*

Proof of Address * ☐ Certificate of Incorporation / Formation ☐ Latest Telephone Bill (Only Landline)
☐ Registration Certificate ☐ Latest Electricity Bill ☐ Latest Bank A/c Statement
☐ Other Document Please Specify
☐ Registered Lease / Sale Agreement of office premises

Line 1*
Line 2
Line 3 City / Town / Village*
District* Pin / Post Code*
State / U.T Code* ISO 3166 Country Code*

3.2 Local Address in India / Correspondence Address (If different from above)*

Proof of Address * ☐ Certificate of Incorporation / Formation ☐ Latest Telephone Bill (Only Landline)
☐ Registration Certificate ☐ Latest Electricity Bill ☐ Latest Bank A/c Statement
☐ Other Document Please Specify
☐ Registered Lease / Sale Agreement of office premises

8. For Office Use Only		
In-Person Verification (IPV) carried out by		Intermediary Details
Name of the Organization	LGT Capital Markets Private Limited	
Person name doing IPV / docs / PAN verification	<div style="text-align: center;"> </div>	<input type="checkbox"/> Self certified document copies received (OVD) <input type="checkbox"/> True Copies of documents received (Attested)
Designation / LGT Capital Markets Private Limited Employee code		
Date of IPV / Document Verification		
Signature of the Person		

CENTRAL KYC REGISTRY | Instructions / Check list / Guidelines for filling Legal Entity / Other than Individual KYC Application Form

A. Clarification / Guidelines for filling Entity Details section

1 Entity Constitution Type

- A - Sole Proprietorship (Not Applicable for Trading & Demat Account))
- B - Partnership Firm
- C - HUF
- D - Private Limited Company
- E - Public Limited Company
- F - Society
- G - Association of Persons (AOP) / Body of Individuals (BOI)
- H - Trust
- I - Liquidator
- J - Limited Liability Partnership
- K - Artificial Liability Partnership
- L - Public Sector Bank
- M - Central/State Government Department or Agency
- N - Section 8 Companies (Companies Act 2013)
- O - Artificial Juridical Person
- P - International Organization or Agency / Foreign Embassy or Consular Office etc.
- Q - Not Categorized
- R - Others
- S - Foreign Portfolio Investors

- 2 In case of companies and partnerships, PAN of the entity is mandatory. In case of other entities, FORM 60 may be obtained if PAN is not available.

B Clarification / Guidelines for filling 'Proof of Identity[POI] section

1. Activity Proof - 1 and Activity Proof - 2 are applicable for accounts in case of proprietorship firms. Please refer to relevant instructions issued by the Reserve Bank of India in this regard.
2. Please refer to the relevant instructions issued by the regulator regarding applicable documents for the legal entity.
3. Certified copy of document or equivalent e-document or OVD obtained through Digital KYC process to be submitted.

4. Equivalent e-document' means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the Client as per rule 9 of the information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
 5. 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.
 6. KYC requirements for Foreign Portfolio Investors (FPIs) will be as specified by the concerned regulator from time to time.
- C. Clarification / Guidelines for filling 'Proof of Address [POA]' section
1. State/U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
 2. Certified copy of documents or equivalent e-document to be submitted.
- D. Clarification / Guidelines for filling 'Contact Details' section
1. Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
 2. Do not add '0' in the beginning of mobile number.
- E. Clarification / Guidelines on filling 'Current Address details' section
1. Personal Details
 - * The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
 2. Proof of Address [POA]
 - *POA to be submitted only if the submitted POI does not have current address or address as per POI is invalid or not in force
 - *State / U.T. Code and Pin / Post Code will not be mandatory for Overseas addresses.
 - *In case of deemed POA such as utility bill, the document need not be uploaded on CKYCR
 - *REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a Client and Client wants to provide a current address, from the address as per the identity information available in the Central Identities Data Repository.
 3. If KYC number of Related Person is available, on other details except 'Person Type' and 'Name of the Related Person' are required.
 4. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof possession of Aadhaar, while uploading on CKYCR.
- F. Provision for capturing signature of multiple authorised persons is to be made by the RE.

Name of Applicant

PAN of Applicant

Sr No.	1	2	3
PAN			
Name			
DIN (For Directors) /UID (for others)			
Residential/ Registered address			
Relationship with Applicant (i.e Promoters, whole time directors, etc.)			
Whether Politically Exposed	<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NONE	<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NONE	<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NONE
Photograph			

Name and Signature of Authorized Signatories

Date

A. General Instructions

1. Self-attestation of documents is mandatory.
2. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per Section E.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in its individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/ Passport of Minor/Birth Certificate must be provided.
11. Politically exposed persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in/by a foreign country e.g., Head of State or of Government, senior politician, senior government/judiciary/military officer, senior executive of state owned corporation, important political party official, etc.

B. Proof of Identity (POI)

- a. Officially valid document (OVD) defined as per Rule 2 (d) of Prevention of Money-Laundering (Maintenance of Records) Rules, 2005 (PML Rules):
 - i. The passport.
 - ii. The driving licence.
 - iii. Proof of possession of Aadhaar number.
 - iv. The Voter's Identity Card issued by Election Commission of India.
 - v. Job card issued by NREGA duly signed by an officer of the State Government.
 - vi. The letter issued by the National Population Register containing details of name address or
 - vii. Any other document as notified by the Central Government in consultation with the Regulator.
- b. Further, in terms of proviso to the above Rule, where simplified measures are applied for verifying the identity of the Clients. The following documents shall also be deemed to be officially valid document.
 - i. Identity card/ document with applicant's photo, issued by the Central/State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks and Public Financial Institutions.
 - ii. Letter issued by a gazetted officer, with a duly attested photograph of the person.

C. Proof of Address (POA)

- a. "Officially valid document" defined as per Rule 2 (d) of Prevention of Money-Laundering (Maintenance of Records) Rules, 2005 (PML Rules):
 - i. The passport;
 - ii The driving licence;
 - iii Proof of possession of Aadhaar number;
 - iv The Voter's Identity Card issued by Election Commission of India;
 - v Job card issued by NREGA duly signed by an officer of the State Government;
 - vi The letter issued by the National Population Register containing details of name, address; or
 - vii Any other document as notified by the Central Government in consultation with the Regulator.
- b. Further, in terms of Rule 9(18) of PML rules, 2005, in case the officially valid document furnished by the Client does not contain updated address, the following documents (or their equivalent e-documents thereof) shall be as deemed to be officially valid document for the limited purpose of proof of address, provided that the Client shall submit updated officially valid document (or their equivalent e-documents

thereof) with current address within a period of three months of submitting the following documents:

- i Utility bill which is not more than two months old of any service provider (electricity, telephone, postpaid mobile phone, piped gas, water bill);
- ii Property or municipal tax receipt;
- iii Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address;
- iv Letter of allotment of accommodation from employer issued by state or central government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotting official accommodation.

D. Exemptions/Clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected)

The following are exempted from the mandatory requirement of PAN:

- i Transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc. (under the category of Government) for transacting in the securities market.
- ii. Investors residing in the state of Sikkim.
- iii. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- iv. SIP of Mutual Funds upto ₹50,000/- per year.

In case there is change in the name subsequent to issuance of PAN of the Client registered intermediaries can collect the PAN card proof as submitted by the Client provided it is supported by a marriage certificate issued by the State Government or gazette notification, indicating such a change of name.

The e-PAN issued by Central Board of Direct Taxes (CBDT) can also be produced by Client for KYC compliance. e-PAN is a digitally signed PAN card issued in electronic format by the Income-tax department.

E. List of people authorized to attest the documents

1. Notary Public, Gazette Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/ Consulate General in the country where the client resides are permitted to attest the documents.

F. Online Mode Processing of KYC

Online KYC

- Applicant may directly upload their documents (OVD) as scanned images on intermediary's portal.
- The documents should be e-signed.
- Virtual In Person Verification (VIPV) is mandatory as per SEBI guidelines.
- Intermediary attestation on documents (OSV) is exempted.

Proof of Address (attested copy of any 1 POA for correspondence and permanent address each to be submitted) Certified copy of OVD or equivalent e-document of OVD obtained through digital KYC process needs to be submitted. (Any one of the following OVD)

- ☐ A - Aadhaar Card XXXXXX
☐ B - Passport Number _____ (Expiry Date) XXXXXX
☐ C - Voter ID Card _____
☐ D - Driving License _____ (Expiry Date) XXXXXX
☐ E - NREGA Job Card _____
☐ F - NPR Letter _____ (National Population Registered Letter)
☐ G - EKYC Authentication _____
☐ H - Offline verification of Aadhaar _____
☐ I - Others _____ (any document notified by Central Government)

Identification Number _____

3. Contact Details (in Capital) - (All communications will be sent to Mobile No. / Email Id)

Email ID* _____ Mobile No.* _____
 Tel (Off) _____ Tel (Res) _____

4. Applicant Declaration

- I hereby declare that the KYC details furnished by me are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
 I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time. I hereby authorise sharing of the information furnished on this form with all SEBI registered KYC Registration Agencies/SEBI Registration Intermediaries / CERSAI.
- I hereby consent to receiving information from (CVL KRA) CKYC & CKRA through SMS/Email on the above registered number/email address.
- I confirm & provide consent to LGT Capital Markets Private Limited to fetch my KYC details & supporting documents from KYC (Know Your Client) Registration Agency (KRA)/CERSAI (CKYC). I understand that notifications of the same will be received from the KRA/CERSAI (CKYC) on my registered mobile & e-mail Id captured in KRA/CERSAI (CKYC) records.
- I hereby authorise LGT Capital Markets Private Limited to disclose, share, rely, remit in any form, mode or manner, all/any of the information provided by me, including all changes, updates to such information as and when provided by me to any Indian or foreign governmental or statutory or judicial authorities/ agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax/ revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorise to share the given information to other SEBI Registered Intermediaries/or any regulated intermediaries registered with SEBI/RBI/IRDA/ PFRDA to facilitate single submission/update & for other relevant purposes. I also undertake to keep you informed in writing about any changes/modification to the above information in future within 30 days and also undertake to provide any other additional information as may be required at your end or by domestic or overseas regulators/tax authorities.
- I consent LGT Capital Markets Private Limited to share my details with LGT Capital Markets Private Limited's parent company, subsidiaries, sister concerns, and with such other service providers, having service level agreement with LGT Capital Markets Private Limited for running and operating its business activities.

Client's Signature	
Client Name	

Date:

Place: _____

5. For Office Use Only	
In-Person Verification (IPV) carried out by	Intermediary Details
Name of the Organization	LGT Capital Markets Private Limited
Person name doing IPV / docs / PAN verification	<input type="checkbox"/> Self certified document copies received (OVD) <input type="checkbox"/> True Copies of documents received (Attested)
Designation / LGT Capital Markets Private Limited Employee code	
Date of IPV / Document Verification	
Signature of the Person	



Proof of Address (attested copy of any 1 POA for correspondence and permanent address each to be submitted) Certified copy of OVD or equivalent e-document of OVD obtained through digital KYC process needs to be submitted. (Any one of the following OVD)

- ☐ A - Aadhaar Card XXXXXX
☐ B - Passport Number _____ (Expiry Date) XXXXXX
☐ C - Voter ID Card _____
☐ D - Driving License _____ (Expiry Date) XXXXXX
☐ E - NREGA Job Card _____
☐ F - NPR Letter _____ (National Population Registered Letter)
☐ G - EKYC Authentication _____
☐ H - Offline verification of Aadhaar _____
☐ I - Others _____ (any document notified by Central Government)

Identification Number _____

3. Contact Details (in Capital) - (All communications will be sent to Mobile No. / Email Id)

Email ID* _____ Mobile No.* _____
 Tel (Off) _____ Tel (Res) _____

4. Applicant Declaration

- I hereby declare that the KYC details furnished by me are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
 I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time. I hereby authorise sharing of the information furnished on this form with all SEBI registered KYC Registration Agencies/SEBI Registration Intermediaries / CERSAI.
- I hereby consent to receiving information from (CVL KRA) CKYC & CKRA through SMS/Email on the above registered number/email address.
- I confirm & provide consent to LGT Capital Markets Private Limited to fetch my KYC details & support- ing documents from KYC (Know Your Client) Registration Agency (KRA)/CERSAI (CKYC). I understand that notifications of the same will be received from the KRA/CERSAI (CKYC) on my registered mobile & e-mail Id captured in KRA/CERSAI (CKYC) records.
- I hereby authorise LGT Capital Markets Private Limited to disclose, share, rely, remit in any form, mode or manner, all/any of the information provided by me, including all changes, updates to such information as and when provided by me to any Indian or foreign governmental or statutory or judicial authorities/ agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax/ revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorise to share the given information to other SEBI Registered Intermediaries/or any regulated intermediaries registered with SEBI/RBI/IRDA/ PFRDA to facilitate single submission/update & for other relevant purposes. I also undertake to keep you informed in writing about any changes/modification to the above information in future within 30 days and also undertake to provide any other additional information as may be required at your end or by domestic or overseas regulators/tax authorities.
- I consent LGT Capital Markets Private Limited to share my details with LGT Capital Markets Private Limited's parent company, subsidiaries, sister concerns, and with such other service providers, having service level agreement with LGT Capital Markets Private Limited for running and operating its business activities.

Client's Signature	
Client Name	

Date:

Place: _____

5. For Office Use Only	
In-Person Verification (IPV) carried out by	Intermediary Details
Name of the Organization	LGT Capital Markets Private Limited
Person name doing IPV / docs / PAN verification	<input type="checkbox"/> Self certified document copies received (OVD) <input type="checkbox"/> True Copies of documents received (Attested)
Designation / LGT Capital Markets Private Limited Employee code	
Date of IPV / Document Verification	
Signature of the Person	

Proof of Address (attested copy of any 1 POA for correspondence and permanent address each to be submitted) Certified copy of OVD or equivalent e-document of OVD obtained through digital KYC process needs to be submitted. (Any one of the following OVD)

- ☐ A - Aadhaar Card XXXXXX
☐ B - Passport Number _____ (Expiry Date) XXXXXX
☐ C - Voter ID Card _____
☐ D - Driving License _____ (Expiry Date) XXXXXX
☐ E - NREGA Job Card _____
☐ F - NPR Letter _____ (National Population Registered Letter)
☐ G - EKYC Authentication _____
☐ H - Offline verification of Aadhaar _____
☐ I - Others _____ (any document notified by Central Government)

Identification Number _____

3. Contact Details (in Capital) - (All communications will be sent to Mobile No. / Email Id)

Email ID* _____ Mobile No.* _____
 Tel (Off) _____ Tel (Res) _____

4. Applicant Declaration

- I hereby declare that the KYC details furnished by me are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
 I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time. I hereby authorise sharing of the information furnished on this form with all SEBI registered KYC Registration Agencies/SEBI Registration Intermediaries / CERSAI.
- I hereby consent to receiving information from (CVL KRA) CKYC & CKRA through SMS/Email on the above registered number/email address.
- I confirm & provide consent to LGT Capital Markets Private Limited to fetch my KYC details & support- ing documents from KYC (Know Your Client) Registration Agency (KRA)/CERSAI (CKYC). I understand that notifications of the same will be received from the KRA/CERSAI (CKYC) on my registered mobile & e-mail Id captured in KRA/CERSAI (CKYC) records.
- I hereby authorise LGT Capital Markets Private Limited to disclose, share, rely, remit in any form, mode or manner, all/any of the information provided by me, including all changes, updates to such information as and when provided by me to any Indian or foreign governmental or statutory or judicial authorities/ agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax/ revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorise to share the given information to other SEBI Registered Intermediaries/or any regulated intermediaries registered with SEBI/RBI/IRDA/ PFRDA to facilitate single submission/update & for other relevant purposes. I also undertake to keep you informed in writing about any changes/modification to the above information in future within 30 days and also undertake to provide any other additional information as may be required at your end or by domestic or overseas regulators/tax authorities.
- I consent LGT Capital Markets Private Limited to share my details with LGT Capital Markets Private Limited's parent company, subsidiaries, sister concerns, and with such other service providers, having service level agreement with LGT Capital Markets Private Limited for running and operating its business activities.

Client's Signature	
Client Name	

Date:

Place: _____

5. For Office Use Only	
In-Person Verification (IPV) carried out by	Intermediary Details
Name of the Organization	LGT Capital Markets Private Limited
Person name doing IPV / docs / PAN verification	<input type="checkbox"/> Self certified document copies received (OVD) <input type="checkbox"/> True Copies of documents received (Attested)
Designation / LGT Capital Markets Private Limited Employee code	
Date of IPV / Document Verification	
Signature of the Person	

Additional KYC Form for Opening a Demat Account

LGT Capital Markets Private Limited

Reg. Office.: B 201/202 2nd floor, Kanakia Wallstreet, Chakala MIDC, Mumbai - 400093.

Tel.: +91 22 6536 3335 Depository Participant (CDSL) : - IN-DP-793-2025 (17/02/2025) CDSL DP ID : 12101500

(To be filled by the Depository Participant)

Application No.											Date									
DP Internal Reference No																				
DP ID	1	2	1	0	1	5	0	0	Client ID											

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details :-

Holders Details

Sole / First Holder's Name		Search Name	PAN																	
			UCC																	
			ExchangeName & ID	NSE-90395 BSE-6877																
Second Holder's Name			PAN																	
			UID																	
Third Holder's Name			PAN																	
			UID																	

*Exchange ID - NSE-90395 BSE-6877

Name *																			
*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.																			

Type of Account (Please tick whichever is applicable)																			
Status															Sub – Status				
<input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Other (Specify) _____															To be filled by the DP				
SEBI Registration No. (If Applicable)										SEBI Registration date									
										D D M M Y Y Y Y									
RBI Registration No. (If Applicable)										RBI Approval date									
										D D M M Y Y Y Y									
Nationality										<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____									

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')															[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No				
I / We would like to instruct the DP to accept all the pledge instructions in my / our account without any other further instruction from my / our end (If not marked, the default option would be 'No')															<input type="checkbox"/> Yes <input type="checkbox"/> No				
Account Statement Requirement <input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly																			
I / We request you to send Electronic Transaction-cum-Holding Statement at the email															<input type="checkbox"/> Yes <input type="checkbox"/> No				
I / We would like to share the email ID with the RTA															<input type="checkbox"/> Yes <input type="checkbox"/> No				
I / We would like to receive the Annual Report <input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be Physical)																			

Clearing Member Details (To be filled by CMs only)

Name of Stock Exchange																				
Name of CC / CH																				
Clearing Member Id											Trading member ID									

I / We wish to receive dividend / interest directly in to my bank account given below through ECS (if not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]															<input type="checkbox"/> Yes <input type="checkbox"/> No				
--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	--	--	--	--	--	--	--	--	--	--	--	--	--	--	----------------------------------------------------------	--	--	--	--

SMS Alert Facility Refer to Terms & Conditions given as Annexure	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).
<i>Easi</i>	To register for <i>easi</i> , please visit our website www.cdslindia.com <i>Easi</i> allows a BO to view its ISIN balances, transactions and value of the portfolio online.

Declaration

We have received and read the document of 'Rights and Obligation of BO-DP' (DP-CM agreement for BSE/NSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. We declare that the particulars given by us above are true and to the best of our knowledge as on the date of making this application. We further agree that any false / misleading information given by us or suppression of any material information will render my account liable for termination and suitable action.

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signature			

(In case of more authorised signatories, please add annexure)

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL
[SMS Alerts will be sent by CDSL to BOs for all debits]

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the Depository. The term covers all types of demat accounts, which can be opened with a Depository as specified by the Depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the Depository has entered/ will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at it's request and at the discretion of the Depository. The service will be available to those account holders who have provided their mobile numbers to the Depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The Depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the Depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the Depository. In case of change in mobile number not intimated to the Depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the Depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The Depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the Depository shall make every effort to update the change in mobile number within a reasonable period of time. The Depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts, then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The Depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for it's convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the Depository and/ or the DP immediately in writing and the Depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the Depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the Depository to send any message such as promotional, greeting or any other message that the Depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.

6. The BO agrees to inform the Depository and DP in writing of any unauthorized debit to its BO account/ unauthorized transfer of securities from its BO account, immediately, which may come to its knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from its BO account by sending a SMS back to the service provider as there is no reverse communication between the service provide and Depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the Depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The Depository will make best efforts to provide the service. The BO cannot hold the Depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The Depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The Depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the Depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the Depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the Depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a Depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The Depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

We wish to avail the SMS Alerts facility provided by the Depository on our mobile number provided in the registration form subject to the terms and conditions mentioned below. We consent to CDSL providing to the service provider such information pertaining to account/transactions in our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the Depository from time to time. We further undertake to pay fee/ charges as may be levied by the Depository from time to time.

We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

We are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the Depository for providing the service

We provide the following information for the purpose of **Registration / Modification** (Please cancel out what is not applicable).

BOID :

DP ID : 1 2 1 0 1 5 0 0

Client ID :

First/Sole Holder's Name :

Second Holder's Name :

Third Holder's Name :

Mobile Number on which +91 messages are to be sent
(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of:

Email ID:

(Please write only ONE valid email ID on which communication; if any, is to be sent)

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Signature & Stamp			
Client Name			

Place:

Date:

Option for Issue of DIS Booklet

We hereby state that

☐ We require you to issue Delivery Instruction Slip (DIS) booklet to us immediately on opening our CDSL account though We have issued a Power of Attorney (POA) in the favour of LGT Wealth India Private Limited and Demat Debit and Pledge Instruction (DDPI) in favour of LGT Capital Markets Private Limited /registered for eDIS / executed PMS agreement in favour of _____
(Name of the attorney / Clearing Member/PMS manager) for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Power of Attorney holder -Clearing Member / by PMS manager/ for executing delivery instructions through eDIS.

OR

☐ We do not require the Delivery Instruction Slip(DIS)for the time being, Since We have issued a POA in the favour of LGT Wealth India Private Limited and DDPI in the favour LGT Capital Markets Private Limited/ registered for eDIS /executed PMS agreement in the favour of _____
(Name of the attorney / Clearing Member/PMS manager) for executing the delivery instructions for settling stock exchange trades [settlement related transaction] effected through such Power of Attorney Holder - Clearing Member / by PMS manager or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Signature & Stamp			
Client Name			

Financial Status and Other Details of Demat Account Holder

a. Annual Income

- ☐ upto Rs. 1 lac ☐ Rs. 1 lac to Rs. 2 lacs ☐ Rs. 2 lacs to Rs. 5 lacs ☐ Rs. 5 lacs to Rs. 10 lacs
☐ Rs. 10 lacs to Rs. 25 lacs ☐ more than 25 lacs ☐ Rs. 50 lacs to Rs. 1 crore
☐ Rs. 1 crore to 5 crore ☐ Above 5 crore

b. Networth Details as on the date of account opening

C. Nature of Business

- ☐ Agriculture ☐ Gems & Jewellery ☐ Money Lending ☐ Forex Dealer ☐ Real Estate
☐ Export-Import ☐ Manufacturing ☐ Service Industry ☐ Trading Firm ☐ Casino Owner
☐ Arms Manufacturer ☐ Pawn Broker ☐ Others (Specify) _____

D. Please tick mark the additional category to you

- ☐ Non resident Client
☐ High net-worth client (having of more than Rs. 250 crores)
☐ *Trust, Charities, NGOs/NPO and organizations receiving donations




Do you receive foreign contribution or donation from foreign sources ? ☐ YES ☐ NO

Note: *In order to receive foreign contribution or donation from foreign sources, entities such as NGO, NPO, Charitable Trusts, Societies are required to be registered under Section 6(1) of Foreign Contribution Regulation Act, 2010. As per FCRA foreign contributions cannot be invested in Mutual Funds and other speculative investment.

- ☐ Company having close family shareholdings or beneficial ownership
☐ Civil Servant or family member or close relative of civil servant
☐ Bureaucrat or family member or close relative of bureaucrat
☐ Current or Former MP or MLA or MLC or their family member or close relative
☐ Politician or their family member or close relative
☐ Current or Former Head of State or of Governments or their family member or close relative
☐ Senior government/judicial/military officers or their family member or close relative
☐ Senior executives of state-owned corporations or their family member or close relative
☐ Companies offering foreign exchange offerings
☐ None of the above

E. GST Details	
GST Number	<input type="text"/>
GST Implementation Location	<input type="text"/>
GST Validity Date	<input type="text"/>

We hereby further confirm/undertake that the investments/trading done in market are from our own/borrowed sources of funds and we confirm that the funds utilized for trading activity by us is in compliance with the rules, regulations and guidelines stipulated under PMLA

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Signature & Stamp			
Client Name			




LGT Capital Markets Private Limited. DP ID: 12101500
Schedule of DP Charges

Sr. No.	Account Head	Rate
1	Account Opening	NIL
2	Annual Maintenance Charge	Rs 500 for Individual Demat Account and Rs 1000 For Non Individual Account
3	Custody Charges	NIL
4	Dematerialisation	Rs. 5/- per Certificate, plus Rs. 100 per Request (Inclusive of Courier Charges).
5	Rematerialisation	a) Rs. 10/- for every hundred securities or part there of OR b) a flat fee of Rs. 10/- per certificate whichever is higher
6	Market Transaction (Sell)	0.025% of the value of securities or Rs 50 per ISIN whichever is lower
7	Market & Off-Market Transaction (Buy)	NIL
8	Off- Market Transaction (Sell)	0.025% of the value of securities or Rs 50 per ISIN whichever is lower
9	Normal Pledge	Rs. 25 per ISIN
10	Margin Pledge	Rs. 25 per ISIN
11	Pledge Invocation / Pledge Closure	Rs. 25 per ISIN
12	Failed Transaction	NIL
13	Demat Rejection Charges	NIL

Notes:

1. LGT Capital Markets Private Limited ("LGT Capital") shall, on completion of a calendar month, determine the number of transactions executed on your behalf and shall compute and charge the fees and issue invoice in the following month. Payment of fees shall be due only after the invoice is issued.
2. There will be no charges levied on all liquid BeES.
3. Charges/ Service Standards are subject to revision at LGT Capital's sole discretion and will be intimated in writing to Clients at least 30 days in advance.
4. The value of Transaction will be in accordance with rates provided by the Depository.
5. Pledge Services will include individually pledge creation request, pledge confirmation request, closure requisition, closure confirmation requisition.
6. All instructions received beyond the stipulated time will be accepted at Client's risk and will be executed on Best Effort basis.

I/ We have read and understood the "Schedule of Charges" prescribed above and agree to abide by the same.

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Signature & Stamp			
Client Name			

FATCA Declaration

Information for reporting requirement under section 285BA of the Income-tax Act, 1961

Section 1 - Entity Identification

Name of the Entity : _____

Nature of business : _____

(refer Table 8 and mention Corresponding Code)

Country of Business : _____

(Please state names of countries from where entity is deriving/generating significant revenue/income. For e.g. If an entity is an exporter, country of business will be where the entity is generating maximum revenue from exports to such countries.)

Country of incorporation: _____

Section 2 - Declaration of Tax residency (If tax residency is only India, then please skip this section)

Please indicate the Entity's country of tax residence (if resident in more than one country please detail all countries and associated tax identification number and TIN issuing country).

Country/ies of Tax Residency	Tax Identification number (TIN)/ functional equivalent number	TIN/functional equivalent number Issuing Country	Document Type# attached(Tax Residence Certificate and/or TIN Card or others)	Valid till (dd/mm/yyyy)

Please provide Documentary evidence for foreign country of tax residence and TIN

If Tax residency is USA, then is it a Specified US Person? (Please refer Table 2 for definition of "Specified US Person")	<input type="checkbox"/> Yes <input type="checkbox"/> No	If No, provide exclusion code from Table 2	
If Other than India and USA, then is it an Other Reportable Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No	If No, provide exclusion code from Table 3	

Section 3 - Classification of Entity

a) Is the Entity a Financial Institution? ☐ Yes ☐ No If No, then please skip this section. ("Financial institution" means a custodial institution, a depository institution, an investment entity, or a specified insurance company. Please refer Table 1 for terms used herein)

Reporting Financial Institution?	Yes/No	If Yes, Provide GIIN	
Non-reporting financial Institution?	Yes/No	If Yes, provide category from Table 4	
Sponsored Investment Entity/ Trustee Documented Trust?	Yes/No	If Yes, Name of the Sponsoring Entity/Trustee: GIIN of the Sponsoring Entity/Trustee	
Non-Participating Financial Institution?	Yes/No		
Owner documented Financial Institution?		If yes, each controlling person should fill details in Annexure A(Refer Table 7	

b) Is the Entity a Non-Financial Entity (NFE):

1. Is it an Active NFE? ☐ Yes ☐ No If No, then please skip this section.

Category of Active NFE (Please provide category from Table 5)	
If listed company, name of the stock exchange on which listed:	
If entity of listed company, name of Company and name of the stock exchange on which listed:	

If yes, each controlling person should fill details in Annexure A(Refer Table 7)

2. Is it a Passive NFE? ☐ Yes ☐ No If No, then please skip this section

Category of Passive NFE (please provide category from Table 6)	
----------------------------------------------------------------	--

If yes, each controlling person should fill details in Annexure A(Refer Table 7)

3. Is it a Direct Reporting NFE? ☐ Yes ☐ No If No, then please skip this section

GIIN	
------	--

Declaration and Undertakings

We certify that:

- a) The information provided in the Form is in accordance with section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income Tax Rules, 1962.
- b) The information provided by us in the Form, its supporting Annexures as well as in the documentary evidence provided by us are, to the best of our knowledge and belief, true, correct and complete and that we have not withheld any material information that may affect the assessment/categorization of the account as a Reportable account or otherwise.
- c) We permit/authorise LGT Capital Markets Private Limited to collect, store, communicate and process information relating to the Account and all transactions therein, by LGT Capital Markets Private Limited and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.
- d) We undertake the responsibility to declare and disclose within 30 days from the date of change, any changes that may take place in the information provided in the Form, its supporting Annexures as well as in the documentary evidence provided by us or if any certification becomes incorrect and to provide fresh self-certification alongwith documentary evidence.
- e) We also agree that our failure to disclose any material fact known to us, now or in future, may invalidate our application and LGT Capital Markets Private Limited would be within its right to put restrictions in the operations of our account or close it or report to any regulator and/or any authority designated by the Government of India for the purpose or take any other action as may be deemed appropriate by LGT Capital Markets Private Limited if the deficiency is not remedied by us within the stipulated period.
- f) We hereby accept and acknowledge that LGT Capital Markets Private Limited shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by us to LGT Capital Markets Private Limited.
- g) It shall be our responsibilities to educate ourself and to comply at all times with all relevant laws relating to reporting under section 285BA of the Act read with the Rules thereunder.
- h) We also agree to furnish such information and/or documents as LGT Capital Markets Private Limited may require from time to time on account of any change in law either in India or abroad in the subject matter herein.
- i) We shall indemnify LGT Capital Markets Private Limited for any loss that may arise to LGT Capital Markets Private Limited on account of providing incorrect or incomplete information.
- j) We certify that we have the capacity to sign for the Entity as per CBDT rules/SEBI/RBI guidelines

	Authorised Signatory
Signature & Stamp	
Client Name	

Date: DDMMYYYY

Annexure A

(Please attach separate sheets for each Controlling Person/Related Person)

Details of the Controlling Person:

Name			
CKYC number of related person and New /Update/Delete			
Father's/Spouse Name			
Date of Birth			
Gender	<input type="checkbox"/> M-Male <input type="checkbox"/> F-Female <input type="checkbox"/> T - Transgender		
Occupation Type	<input type="checkbox"/> S-service (<input type="checkbox"/> Private sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector) <input type="checkbox"/> O-others (<input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student) <input type="checkbox"/> B-Business <input type="checkbox"/> X-Not categorised		
PAN			
Place/City of Birth		Country of Birth	
Nationality	<input type="checkbox"/> IN-Indian <input type="checkbox"/> Others		
DIN number			

*Are you a U.S. Green Card Holder (Yes/No)_____

Address

Address Type : ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered office ☐ Unspecified

Line 1 : _____

Line 2 : _____

Line 3 : _____

City/Town/Village:_____ State/UT:_____ Pin code:_____ Country:_____

Proof of Identity/Address

(Certified copy of any one of the following needs to be submitted)

☐ A-Passport. _____ Passport Expiry Date: _____☐ B-Voter ID Card _____☐ C-PAN Card _____☐ D-Driving License _____ Driving Licence Expiry Date: _____☐ E-UID (Aadhaar) _____☐ F-NREGA Job Card _____☐ Z-Other _____ Identification Number _____

Contact Details

Tel(off) _____ Tel(Res): _____ Mobile: _____ Fax: _____

Email ID: _____

Controlling Person Type (please refer Table 7):

In case of legal person:	<input type="checkbox"/> Ownership <input type="checkbox"/> Other means <input type="checkbox"/> Senior Managing Official
In case of legal Arrangement - Trust:	<input type="checkbox"/> Settlor <input type="checkbox"/> Trustee <input type="checkbox"/> Protector <input type="checkbox"/> Beneficiary <input type="checkbox"/> Others
In case of legal Arrangement - Others	<input type="checkbox"/> Settlor equivalent <input type="checkbox"/> Trustee equivalent <input type="checkbox"/> Protector equivalent <input type="checkbox"/> Beneficiary equivalent <input type="checkbox"/> Others equivalent
Unknown	<input type="checkbox"/> Unknown

Please indicate the Country of tax residence (if resident in more than one country provide details of all countries, associated tax identification number and TIN issuing country).

Country/countries of Tax Residency	Tax Identification number (TIN)/ Functional Equivalent number	TIN/Functional Equivalent Number Issuing Country	Documentary Evidence Enclosed for Country of Tax Residence and TIN	Valid till (dd/mm/yyyy)


Declaration and Undertakings

We certify that:

- The information provided in the Form is in accordance with section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income tax Rules, 1962.
- The information provided by us in the Form, its supporting Annexures as well as in the documentary evidence provided by us are, to the best of our knowledge and belief, true, correct and complete and that we have not withheld any material information that may affect the assessment/categorization of the account as a Reportable account or otherwise.
- We permit/authorise LGT Capital Markets Private Limited to collect, store, communicate and process information relating to the Account and all transactions therein, by LGT Capital Markets Private Limited and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.
- We undertake the responsibility to declare and disclose within 30 days from the date of change, any changes that may take place in the information provided in the Form, its supporting Annexures as well as in the documentary evidence provided by us or if any certification becomes incorrect and to provide fresh self-certification alongwith documentary evidence.
- We also agree that our failure to disclose any material fact known to us, now or in future, may invalidate our application and LGT Capital Markets Private Limited would be within its right to put restrictions in the operations of our account or close it or report to any regulator and/or any authority designated by the Government of India for the purpose or take any other action as may be deemed appropriate by LGT Capital Markets Private Limited if the deficiency is not remedied by us within the stipulated period.
- We hereby accept and acknowledge that LGT Capital Markets Private Limited shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by us to LGT Capital Markets Private Limited.
- It shall be our responsibilities to educate ourself and to comply at all times with all relevant laws relating to reporting under section 285BA of the Act read with the Rules thereunder.
- We also agree to furnish such information and/or documents as LGT Capital Markets Private Limited may require from time to time on account of any change in law either in India or abroad in the subject matter herein.
- We shall indemnify LGT Capital Markets Private Limited for any loss that may arise to LGT Capital Markets Private Limited on account of providing incorrect or incomplete information.
- We certify that I/we have the capacity to sign for the Entity as per CBDT rules/SEBI guidelines.

We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, we are aware that we may be held liable for it.

We hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

	Authorised Signatory
Signature & Stamp	
Client Name	

Date: - -

Annexure providing information on the terms used in form pertaining to reporting requirements under section 285BA of the Income-tax Act, 1961

Table 1
Terms used in the self-certification

Reporting Financial Institution	A custodial institution, a depository institution, an investment entity, or a specified insurance company which is registered with US IRS and obtained a Global Intermediary Identification Number (GIIN).
Custodial Institution	Any entity that holds, as a substantial portion of its business, financial assets for the account of others
Depository Institution	Any entity that accepts deposits in the ordinary course of a banking or similar business
Investment Entity	any entity that
	(A) Primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer, namely:- (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange, exchange, interest rate and index instruments; transferable securities, or commodity futures trading; or (ii) Individual and collective portfolio management; or (iii) Otherwise investing, administering, or managing financial assets or money on behalf of other persons;
	(B) The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a financial institution.
Specified Insurance Company	Any entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract
Owner Documented Financial Institution	It is a Financial Institution which does not report to the US IRS. Owner documented FI are generally small investment entities.
Direct Reporting Non-Financial Entity	It is a Passive NFE but will not share the details of the controlling persons with the financial institution it maintains accounts with but will report directly to US IRS and so its GIIN should be taken
Related Entity	An entity is a "related entity" of another entity if either entity controls the other entity, or the two entities are under common control, For this purpose, control includes direct or indirect ownership of more than fifty percent, of the votes and value in an entity

Table 2

"Specified U.S. person" means a U.S. Person, other than the persons referred to in sub-clauses (1) to (xiii) of clause (ff) of Article 1 of the FATCA agreement;

"U.S. person" means,-

- (a) an individual, being a citizen or resident of the United States of America;
- (b) a partnership or corporation organized in the United States of America or under the laws of the United States of America or any State thereof
- (c) a trust if,-
 - (i) a court within the United States of America would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust; and
 - (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust; or
- (d) an estate of a decedent who was a citizen or resident of the United States of America;

Sub-clauses (1) to (xiii) of clause (ff) of Article 1 of the India -US FATCA agreement are stated below:

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;

- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (xii) a broker as defined in section 6045 (c) of the U.S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

Exclusions from being treated as Specified US Persons:

Sr.No.	Type of entity
1	a corporation the stock of which is regularly traded on one or more established securities markets;
2	a member of the same expanded affiliated group of the listed company,
3	the United States or any wholly owned agency or instrumentality thereof
4	US State or Territory, any political subdivision, or any wholly owned agency or instrumentality
5	501(a) Entity or 7701(a)(37) individual retirement plan
6	bank as defined in section 581 of the U.S. Internal Revenue Code;
7	real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code
8	regulated investment company or entity under the Investment Company Act of 1940
9	any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
10	any tax exempt trust
11	a registered dealer in securities, commodities, or derivative financial instruments
12	a broker as defined in section 6045(c) of the U.S. Internal Revenue Code;
13	any tax-exempt trust under a plan that is described in section 403(b) or section 457(g)

Table 3

Sr.No.	Type of entity
1	a corporation, the stock of which is regularly traded on one or more established securities markets
2	any corporation that a related entity of a corporation mentioned in item 1
3	a Governmental entity
4	an International organization
5	a Central bank
6	a financial institution

Table 4
Non-reporting Financial institution

Sr.No.	Type of entity
1	a Governmental entity, International Organisation or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a specified insurance company, custodial institution, or depositors institution.
2	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund, or a Pension Fund of a Governmental entity, International Organization or Central Bank
3	a non-public fund of the armed forces, Employees' State Insurance Fund, a gratuity fund or a provident fund;
4	An Indian investment entity held only by (1) to (3) above
5	a qualified credit card issuer;
6	Specified Investment advisor or investment manager or executing broker
7	Specified exempt collective investment vehicle
8	a financial institution with local Client base
9	a local bank*
10	a financial institution with only low-value accounts
11	sponsored investment entity and controlled foreign corporation
12	Trustee documented trust
13	sponsored closely held investment vehicle, in case of any U.S. reportable account

*Regional Rural Banks constituted under the Regional Rural Bank Act 1976 (21 of 1976), Urban Cooperative Banks constituted under respective State Cooperative Societies Acts or Multi State Cooperative Societies Act, State Cooperative Banks or District Central Cooperative Banks constituted under respective State Cooperative Societies Act and Local Area Banks licensed under the Banking Regulations Act, 1949 (10 of 1949) and regulated and registered as public limited companies under the Companies Act, 1956 (1 of 1956) or Companies Act, 2013 (18 of 2013), that satisfy the requirement under sub-clause (iv) of explanation (O) to Rule 114F(5). shall be treated as local bank.

Table 5
Active NFE

Sr.No.	Category of Active NFE (Indicative, please see the rules for the comprehensive list)
1	Less than 50 per cent of the entity's gross income for the preceding financial year is passive income and less than 50 per cent of the assets held by the entity during the preceding financial year are assets that produce or are held for the production of passive income; or
2	The stock of the entity is regularly traded on an established securities market; or, the non-financial entity is a related entity of an entity the stock of which is regularly traded on an established securities market;
3	the entity is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
4	Holding or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a financial institution
5	New non-operating entity (upto 24 months from the date of initial organisation) with the intent to operate a business other than that of a financial institution,
6	Non-financial entity in liquidation or is reorganizing
7	the Captive financing and hedging company for related entities that are not financial institutions
8	Prescribed organisation in india for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or professional organization, business league, chamber of commerce, labour organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare satisfying prescribed conditions.
9	an Investor Protection Fund referred to in clause (23EA) of section 10
10	a Credit Guarantee Fund Trust for Small Industries referred to in clause (23EB) of section 10
11	an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act

Table 6
Passive NFE

Sr.No.	Category of Passive NFE
1	Any non-financial entity which is not an active non-financial entity
2	An investment entity described in sub-clause (B) of clause (c) of the Explanation to clause (3)
3	A withholding foreign partnership or withholding foreign trust

Table 7
Controlling Person Type:

Controlling Persons as per SEBI Circular No. CIR/MIRSD/2/2013 issued on the 24th January, 2013.

"A. For Clients other than individuals or trusts:

4. Where the Client is a person other than an individual or trust, viz, company, partnership or unincorporated association/body of individuals, the intermediary shall identify the beneficial owners of the Client and take reasonable measures to verify the identity of such persons, through the following information:
- a. The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Explanation: Controlling ownership interest means ownership of/entitlement to:

- i more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;
- ii. more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- iii. more than 10% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- b. In cases where there exists doubt under clause 4 (a) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means.

Explanation: Control through other means can be exercised through voting rights, agreement, arrangements or in any other manner.

- c. Where no natural person is identified under clauses 4 (a) or 4 (b) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Client which is a trust:

5. Where the Client is a trust, the intermediary shall identify the beneficial owners of the Client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies:

6. Where the Client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies.

D. Applicability for foreign investors:

7. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012, for the purpose of identification of beneficial ownership of the Client."

Table 8
Business codes as per income-tax return form ITR 6

Sr.No.	Sector	Sub-sector	Code
1	Manufacturing Industry	Agro-based industries	0101
		Automobile and Auto parts	0102
		Cement	0103
		Diamond cutting	0104
		Drugs and Pharmaceuticals	0105
		Electronics including Computer Hardware	0106
		Engineering goods	0107
		Fertilizers, Chemicals, Paints	0108
		Flour & Rice Mills	0109
		Food Processing units	0110
		Marble & Granite	0111
		Paper	0112
		Petroleum and Petrochemicals	0113
		Power and energy	0114
		Printing & Publishing	0115
		Rubber	0116
		Steel	0117
		Sugar	0118
		Tea, Coffee	0119
		Textiles, handloom, Power looms	0120
		Tobacco	0121
		Tyre	0122
		Vanaspati & Edible Oils	0123
		Others	0124
2	Trading	Chain Stores	0201
		Retailers	0202
		Wholesalers	0203
		Others	0204
3	Commission Agents	General Commission Agents	0301
4	Builders	Builders	0401
		Estate Agents	0402
		Property Developers	0403
		Others	0404
5	Contractors	Civil Contractors	0501
		Excise Contractors	0502
		Forest Contractors	0503
		Mining Contractors	0504
		Others	0505

Sr.No.	Sector	Sub-sector	Code
6	Professionals.	Chartered Accountants, Companies Secretaries, etc.	0601
		Fashion designers	0602
		Legal professionals	0603
		Medical professionals	0604
		Nursing Homes	0605
		Specialty hospital	0606
		Others	0607
7	Service Sector	Advertisement agencies	0701
		Beauty Parlours	0702
		Consultancy services	0703
		Courier Agencies	0704
		Computer training/educational and coaching institutes	0705
		Forex Dealers	0706
		Hospitality services	0707
		Hotels	0708
		I.T. enabled services, BPO service providers	0709
		Security agencies	0710
		Software development agencies	0711
		Transporters	0712
		Travel agents, tour operators	0713
		Others	0714
8	Financial Service Sector	Banking Companies	0801
		Chit Funds	0802
		Financial Institutions	0803
		Financial service providers	0804
		Leasing Companies	0805
		Money Lenders	0806
		Non-Banking Finance Companies	0807
		Share Brokers, Sub-brokers, etc.	0808
		Others	0809
9	Entertainment Industry	Cable T.V. productions	0901
		Film distribution	0902
		Film laboratories	0903
		Motion Picture Producers	0904
		Television Channels	0905
		Others	0906

Authorization for Receipt of documents In Digital Mode - Voluntary

To

LGT Capital Markets Private Limited

Dear Sir/Madam,

We, hereby consent to receive monthly DP transaction cum holding statements, bills & accounts statements, thereof, notices, circulars, amendments and such other correspondence or documents in electronic form duly authenticated by means of a digital signature as specified in the Information Technology Act 2000 and the Rules made there under, to my self-created Email Id mentioned below




Email Id _____

We further hereby consent to the following:

- i. That the Depository Participant shall fulfill its legal obligation if the aforesaid documents are sent electronically to the above e-mail id.
- ii. We shall communicate the change in e-mail id, if any, to you through a duly signed physical letter.
- iii. We undertake to check contract-notes/ monthly DP holding and transaction statements & all such correspondences as mentioned above on regular basis and bring the discrepancies to your notice preferably within 7(seven) days of the execution of the trade-or-on-receipt of the said statements.
- iv. Non verification or not accessing the DP holding cum transaction statements, all such correspondences on regular basis from my/our part shall not be a reason for disputing the DP holding or transaction statements or any such correspondences sent at any time.
- v. That Depository Participant will not be responsible for non receipt of documents sent via electronic delivery due to change in email address as mentioned above or for any other reason which inter alia include the malfunction of my/our computer system/server/internet. connection etc.
- vi. That the non receipt of bounced mail notification by LGT Capital Markets Private Limited shall amount to delivery of the documents at my/our E-mail ID.
- vii. That the Depository Participant shall not take cognizance of out of office/out of station auto replies and we shall be deemed to have received such electronic mails.
- viii. We have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- ix. Our email id is _____ This has been created by us and not by some one else.
- x. LGT Capital Markets Private Limited shall not be liable or responsible for any Statement received from frauds or impostors or any consequences thereof.
- xi. The above is our personal E-mail id. We shall take all necessary steps to ensure confidentiality and the secrecy of the login and password of the abovementioned e-mail account. LGT Capital Markets Private Limited shall not be liable to or responsible for any breach of secrecy. We are aware that the statements/documents may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised. We will be solely responsible for the security of the information provided on our aforesaid e-mail id.
- xii. We shall immediately inform LGT Capital Markets Private Limited about change in email address, if any.

We further agree that LGT Capital Markets Private Limited will not be responsible for nonreceipt of documents sent via electronic delivery due to change in/incorrect email address/correspondence address as mentioned or any other reason which inter alia include technical reasons or malfunction of our computer system/server/internet connection etc. Further we agree and undertake to indemnify LGT Capital Markets Private Limited and its group companies, that they will not be held liable/ responsible for any losses, claims damages, cost and liabilities etc, caused to us due to misuse of this information or pursuant to any litigation cases, complaints, actions, etc that may arise in future on account of our above authorization request.

The above declaration and in the Annexure have been read and understood by us.

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Signature & Stamp			
Client Name			

Date: DD-MM-YYYY

Place: _____

Consent for Receiving SMS/ Email Alerts from concerned Depositories

We hereby express, our intent to avail the SMS and/or Email alert facility provided by the respective Depositories

This facility will allow us to receive details of the transactions executed in our Demat account with LGT Capital Market Private Limited on a daily basis.




We confirm and acknowledge the following:

1. The SMS and/or Email alerts will be sent to the Email ID and/or Mobile Number registered in our Know Your Client (KYC) records, as maintained with LGT Capital Market Private Limited.
2. We understand that it is our responsibility to ensure that the Email ID and/or Mobile Number provided in the KYC form are accurate and updated at all times. Any changes to the registered contact details will be communicated promptly to LGT Capital Market Private Limited to ensure uninterrupted receipt of alerts.
3. We agree that these alerts are provided by the Depositories as a mechanism to enhance transparency and provide timely transaction information. However, the accuracy of such alerts may be subject to Depositories processes.
4. We understand and accept that the alerts will contain information specific to our daily transaction details and shall not serve as a confirmation of trade execution or settlement obligations.
5. We indemnify LGT Capital Market Private Limited and the Depositories against any liability arising from the misuse, non-receipt, or delay of such alerts caused by any technical, network, or other issues beyond their control.

Kindly proceed with enabling the SMS and/or Email alert facility as per the terms mentioned above.

☐ SMS ☐ EMAIL ☐ BOTH

(The above facility is provided by Depositories will be activated once the details are verified by Depositories confirmed by you)

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Signature & Stamp			
Client Name			

Date: DD - MM - YY YY

Place: _____

**Family Declaration – Email/ Mobile Updation in Family Codes
(Compulsory in case having common email & mobile in more than one A/C in LGT Capital Markets Private Limited)**

To,
LGT Capital Markets Private Limited
Registered Office: B 201/202 2nd floor, Kanakia Wallstreet,
Chakala MIDC, Mumbai-400093.

Date:











Dear Sir/Madam,











Subject: Family Declaration for registering common Mobile / Email details

I and my family members hereby request that mobile number and email id , as mentioned on KYC Page shall be considered in your records for the purpose of receiving communication from LGT Capital Markets Private Ltd. (LGT) or Depositories with regard to demat transactions executed by us through LGT Capital Markets Private Ltd. Thus, any communication relating to our demat accounts should be sent to mobile number and e-mail id mentioned on KYC Page. This facility shall be provided to us as an exception, for our convenience of receiving transaction details at a single mobile number and e-mail id.

We understand that for the purpose of availing the above facility following are the authorised persons.

- a. In case of HUF Karta or any of the Co-parceners as per prior approval of Karta
- b. In case of Partnership firm, any of the partners as per prior approval of all / authorised partners
- c. In case of a Trust, any of the trustees or beneficiaries as per resolution passed by the Trust
- d. In case of Corporates, the Authorised person operating the trading account as per the Board Resolution passed by the Corporate

Sr. No.	Client Name	Client ID / DP ID	Relationship	Signature
1			Self (Mandatory)	
2			HUF	
3			HUF – Karta	
4			HUF – Co Parcener	
5			HUF - Co-parcener	
6			HUF - Co-parcener	
7			Partnership Firm	
8			Partner – Partnership Firm	
9			Partner – Partnership Firm	
10			Partner – Partnership Firm	

Sr. No.	Client Name	Client ID / DP ID	Relationship	Signature
11			Trust	
12			Trustee - Trust	
13			Trustee – Trust	
14			Beneficiary – Trust	
15			Corporate	
16			Corporate - Authorised Person / Director	
17			Corporate - Authorised Person / Director	
18			Corporate - Authorised Person / Director	
19			Corporate - Authorised Person / Director	
20			Corporate - Authorised Person / Director	

(In case of additional Coparcener / Director / Authorised Person / Trustee / Beneficiary, kindly provide details in separate sheet)

Date: -

Place: _____

Aadhaar Consent

Consent to share Aadhaar Details: ☐ Yes ☐ No

Aadhaar Number	Name	Signature
XXXXXXXXXX		
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I/We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made there under, for (I) collecting, storing and usage (ii) validating/authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made there under) and PMLA.



Registered Office: 201/202, Kanakia Wallstreet, Wing B,
Andheri Kurla Road, Andheri East, Mumbai-400093.