



## LGT Securities India Private Limited

### Customer Complaint Data - Investment Advisory Division

**Data for the month ending – November 30, 2025:**

| Sr. No.     | Received from           | Pending at the end of last month | Received | Resolved* | Total Pending# | Pending complaints > 3months | Average Resolution time^ (in days) |
|-------------|-------------------------|----------------------------------|----------|-----------|----------------|------------------------------|------------------------------------|
| 1           | Directly from Investors | 0                                | 0        | 0         | 0              | 0                            | NA                                 |
| 2           | SEBI (SCORES)           | 0                                | 0        | 0         | 0              | 0                            | NA                                 |
| 3           | Other Sources (if any)  | 0                                | 0        | 0         | 0              | 0                            | NA                                 |
| Grand Total |                         | 0                                | 0        | 0         | 0              | 0                            | NA                                 |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### **Trend of monthly disposal of complaints for Current FY:**

| Sr. No.     | Month     | Carried forward from previous month | Received | Resolved* | Pending# |
|-------------|-----------|-------------------------------------|----------|-----------|----------|
| 1           | Apr, 2025 | 0                                   | 0        | 0         | 0        |
| 2           | May, 2025 | 0                                   | 0        | 0         | 0        |
| 3           | Jun, 2025 | 0                                   | 0        | 0         | 0        |
| 4           | Jul, 2025 | 0                                   | 0        | 0         | 0        |
| 5           | Aug 2025  | 0                                   | 0        | 0         | 0        |
| 6           | Sep 2025  | 0                                   | 0        | 0         | 0        |
| 7           | Oct 2025  | 0                                   | 0        | 0         | 0        |
| 8           | Nov 2025  | 0                                   | 0        | 0         | 0        |
| Grand Total |           | 0                                   | 0        | 0         | 0        |

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.



### **Trend of annual disposal of complaints for Previous FYs**

| Sr. No.     | Year      | Carried forward from previous year | Received | Resolved* | Pending# |
|-------------|-----------|------------------------------------|----------|-----------|----------|
| 1           | 2024-25^^ | 0                                  | 0        | 0         | 0        |
| 2           | 2025-26** | 0                                  | 0        | 0         | 0        |
| Grand Total |           | 0                                  | 0        | 0         | 0        |

\*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

^^Certificate of Registration for acting as an Investment Adviser was received from SEBI on December 6, 2024

\*\*Until November 30, 2025